

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

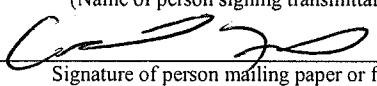
Applicant: Gary Katz
Serial No.: 10/771,993
Filed: February 3, 2004
For: AUTOMATED EXCHANGE FOR TRADING OF
COMPLEX ORDERS
Examiner: Campbell, Kellie L.
Art Unit: 3691
Confirmation No.: 3573

745 Fifth Avenue
New York, NY 10151

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being transmitted
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Cassandra Forster
(Name of person signing transmittal)


Signature of person mailing paper or fee)

January 28, 2010
Date of Signature

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is directed to the following documents set forth in the
accompanying form PTO-1449. Applicant requests that the Examiner consider and make of

record the documents cited herein and that a copy of Form PTO-1449, initialed by the Examiner be returned to the Applicants' attorneys.

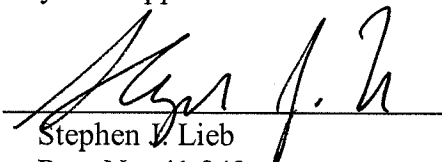
This Information Disclosure Statement is not a representation that the documents cited herein are considered most pertinent, or that a search has been undertaken or that the cited documents are indeed prior art. The Examiner is invited to undertake an independent search.

The materials identified in this IDS were previously submitted in an IDS submitted on December 30, 2009, which did not include a statement under 37 C.F.R. 1.97(e) required for submission after issuance of a Final Office Action. An Amendment and Request for Continued Examination (RCE) are being submitted contemporaneous with this IDS. Applicants respectfully request that the Examiner consider these references now, as this IDS is being submitted prior to the first action following an RCE under 37 C.F.R. 1.97(b)(4). Copies of publications and foreign patents identified hereby were previously submitted with the December 30, 2009 IDS.

The Commissioner is authorized to charge any additional required fee for this paper, or credit any overpayment in fees for this paper, to Deposit Account 50-0320.

Respectfully submitted,

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